

Toward Understanding Metal-Catalyzed Ethanol Reforming

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ABSTRACT: Steam reforming of ethanol (SRE) is a strategic reaction for H_2 production. However, despite considerable work, several aspects of the mechanism and catalytic system for this reaction are not fully understood. There have been many efforts to improve the understanding of the catalysts' behavior during SRE, using both theoretical studies and experimental investigations based on operando characterization techniques. Even though cobalt and nickel are considered the most promising catalytically active metals for industrial SRE, acquiring further knowledge on the reaction mechanism, metal−support interactions, and catalyst deactivation (due to carbon accumulation, sintering, or metal oxidation) will enable the successful design of new and stable catalysts. In this review, we analyze the reaction pathways for metal-catalyzed SRE and discuss the available experimental and theoretical data to suggest alternatives to address three major issues: (i) the impact of particle size and metal oxidation state in the SRE performance; (ii) the importance of

metal surface electronic properties to obtain a balanced and stable catalyst; and (iii) the influence of support on the catalyst selectivity and stability. Clarification of these issues is a key point for understanding the SRE reaction and for the development of new high performance catalysts.

KEYWORDS: ethanol reforming, hydrogen production, reaction mechanism, metal catalyst, heterogeneous catalysis, biomass conversion, ethanol decomposition

1. INTRODUCTION

Steam reforming of hydrocarbons, especially methane (SRM), has been widely studied to fulfill the demand for H_2 .¹ In industrial processes, steam reforming of natural gas, which consists mainly of methane, is performed using nickel-[ba](#page-18-0)sed catalysts. A thorough understanding of the SRM reaction mechanism and catalyst behavior has been acquired following extensive experimental and theoretical research.^{2−4} However, the stability of methane molecule demands the use of high performance catalysts, whose properties may not [b](#page-18-0)e [s](#page-18-0)uitable for more reactive molecules such as ethanol. For example, the addition of small amounts of Au or Ag to nickel-based catalysts can successfully suppress the growth of carbon filaments in $SRM₁⁵$ but this is not effective in the case of steam reforming of ethanol (SRE, eq 1). $⁶$ </sup>

Th[e](#page-18-0) production of H_2 from renewable resources such as ethanol has received a special attention due to the attendant environmental benefits. In SRE, the catalyst must assist the cleavage of C−H, C−C, and O−H bonds, with the fragments recombining to produce CO , $CO₂$, and $H₂$. Noble metals would be the best catalyst candidates for SRE due to their greater ability to break C−C bonds,7,8 and in fact, Rh has been reported to be the most active catalyst for SRE.^{9−11} However,

the high cost of noble metals has shifted the attention to Ni and Co catalysts, which are also effective in breaking C−C bonds and show high activity in SRE. Their performance, however, suffers from strong deactivation due to the extensive carbon deposition. Karim et al. 12 comparing the catalytic behavior of supported Rh and Co catalysts, concluded that Co was a better option than Rh, taking [in](#page-19-0)to consideration $CH₄$ selectivity and the possibility of regeneration. Because the carbon deposition is a major problem in SRE, the addition of oxygen to the reactant mixture, known as oxyreforming of ethanol (ORE, eq 2), has been used to control the accumulation of carbon. Despite of the lower H_2 yield, the presence of oxygen in ORE has been found to be strategic to avoid carbon deposition^{13,14} and to improve the reaction energy balance, relative to SRE.

$$
SRE: CH_3CH_2OH + 3H_2O \leq 2CO_2 + 6H_2
$$
 (1)

+− + ⇆ + − << *x x x x* ORE: CH CH OH (3 2)H withO O 2CO (6 2)H 0 0.5 32 2 2 2 2 (2)

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a
Red, blue, and green colors indicate main routes on Ni or Co, Pt or Pd, and Rh, respectively; dashed lines are secondary routes.

Recent reviews^{4,14−18} have described advances in the technology of H_2 production, the development of catalysts for SRE and OR[E](#page-18-0)[, the](#page-19-0) main pathways involved in catalyst deactivation, and aspects of the reaction mechanism. Nonetheless, it is clear that despite the significant efforts, important questions still remain unanswered, so that there is a need for further studies designed for better understanding these reactions.

Based on of theoretical and experimental results, in this review, the reaction pathways and mechanisms of SRE on metals are first analyzed. Then, the three main aspects concerning SRE and ORE are presented: (i) the effect of the metal nature—the impact of the particle size and the metal oxidation state in the SRE performance; (ii) the importance of the metal surface electronic properties to obtain a balanced and stable catalyst; and (iii) the influence of the support on the catalyst selectivity and stability.

We believe that a better understanding of the reaction mechanism and of the three aspects mentioned above should lead toward the development of new high performance catalysts for SRE. In this respect, advances in experimental investigations conducted in situ, or ideally, under operando conditions, as well as theoretical studies, are central aspects to improve the design of SRE and ORE catalysts.

2. REACTION MECHANISMS AND PATHWAYS

SRE involves the activation of ethanol and water on the surface of a metal particle or of a metal oxide support. The effects of the metal oxide support resulting in either a bifunctional or a predominantly monofunctional mechanism are discussed in

Section 5. In this section, we discuss the SRE mechanisms when it occurs on a metal surface.

Sche[me](#page-13-0) 1 summarizes plausible reaction pathways involved in the SRE over the metal surface, based on both experimental and theoretical results. The ethanol activation proceeds via three main pathways: (i) by cleavage of OH bond and successive dehydrogenation forming a sequence of intermediates including acetaldehyde (CH₃CHO^{*}), acetyl (CH₃C^{*}O), ketene (*CH₂C*O), and ketenyl (*CHC*O), represented by steps 1−5 in Scheme 1; (ii) by CH bond activation followed by successive dehydrogenation, preserving O−H bond with formation of intermediates $*CH_{\nu}CH_{\nu}*OH$ through steps 1A−2A in Scheme 1; and (iii) by cleavage of O−H and C− H forming the intermediate $^{\ast}CH_{2}CH_{2}O^{\ast}$ (oxametallacycle), represented by step 1B in Scheme 1. These pathways can be interconnected depending on the nature of the metal. Cleavage of the C−C bond of the intermediates is followed by hydrogenation/dehydrogenation of $CH_x[*]$, water activation and oxidation of C* species.

We start by briefly reviewing the results obtained for ethanol decomposition on clean metal surfaces, and then the findings of experimental and theoretical investigations of SRE are presented.

2.1. Ethanol Decomposition. Spectroscopic studies using clean metal surfaces are important means for identifying intermediates formed during the catalytic reactions or reactant decomposition.¹⁹ In the case of SRE, early studies by Gates et al.²⁰ showed that ethanol decomposition (eq 3–5) on Ni(111) led to the form[ati](#page-19-0)on of acetaldehyde, CH_4 , CO, H_2 , and surface ca[rb](#page-19-0)on at temperatures between 155 and 500 [K](#page-2-0), [su](#page-2-0)ggesting that bond activation occurs in the order: (1) O−H, (2) CH₂

Figure 1. Reaction data for SRE on Ni/Al₂O₃ (A)⁶ and Co/SiO₂ (B)²⁴ after H₂ activation at 623 K. Selectivity (S): ★ S_{CO}, × S_{CH4}, ☆ S_{CO2}, ▼ $S_{\text{acetaldehyde}} \Box S_{\text{H2}}$, * ethanol conversion, \bigcirc deposited carbon. Reprinted (panel A was adapted in part) with permission from refs 6 and 24. Copyright 2007 and 2009, respectively, Elsevier.

(methylene C−H), (3) C−C, and (4) CH₃ (methyl C−H). This indicates that steps 1−3 in Scheme 1 would better represent the reaction pathway for this catalyst.

$$
CH_3CH_2OH \Leftrightarrow CH_3CHO + H_2 \tag{3}
$$

 $CH_3CHO \leq CO + CH_4$ (4)

$$
CH_4 \leftrightarrows C + 2H_2 \tag{5}
$$

Experimental Studies of SRE. Experimental studies of SRE carried out on supported $Ni^{6,21}$ and $Co^{13,22-24}$ catalysts employing a plug-flow reactor described the products that were desorbed as a function of [tem](#page-19-0)perature [\(Figure](#page-19-0) 1). The results for Ni based catalysts confirmed that ethanol at low temperatures is first dehydrogenated, with desorption of acetaldehyde and H_2 (Figure 1A). By increasing the temperature, in parallel with the desorption of acetaldehyde, it is also observed the C−C bond scission and decomposition of acetaldehyde, resulting in the formation of CH_4 , CO, and H_2 , with a CO/CH_4 ratio of about one, in temperatures below 610 K. A first analysis of these results suggests that they are consistent with the spectroscopic results previously discussed for Ni(111). However, it is also reasonable to assume that, at temperatures below 700 K, the acetaldehyde formed is dehydrogenated to $*CH₂C*O$ or $*CHC*O$, followed by C− C bond break yielding CO and CH_{x} . The latter species would be then hydrogenated to CH4. In this case, the reaction proceeds though steps 1−7, shown in Scheme 1. By increasing the temperature, the methane concentration decreases and the hydrogen selectivity reaches 100%, which coul[d b](#page-1-0)e due to $CH₄$ reforming or by suppression of CH_x hydrogenation forming $CH₄$

Indeed, a critical step for a selective and stable catalyst is the evolution of the CH_x species forming CH₄ or C* as displayed in Scheme 1, steps 7 and 8, respectively. Accordingly, Jones et al.²⁵ used DFT (Density Functional Theory) calculations to show that [th](#page-1-0)e stabilities of *CH and C* and reaction barriers (*[C](#page-19-0)H + * \leq C* + H*) over a Ni surface are strongly dependent on the temperature. Although the decomposition of *CH to *C was favored at high temperature, at low temperature, CH_x hydrogenation would take place yielding $CH₄$. Further theoretical studies of CH_x species adsorbed on $Ni(111)²⁶$ revealed that the highest activation barrier is the H abstraction from methylidyne (*CH) (Figure 2). DFT calculati[ons](#page-19-0) for $Ni(211)$ and $Ni(111)$ showed that *CH and

Figure 2. Electronic energy variation of CH_x species and the corresponding activated complexes at each dehydrogenation step on Ni(111): quantum chemical values (\blacklozenge) and fitted values (\blacklozenge) . Reprinted with permission from ref 26. Copyright 2000, Elsevier.

C* were more stable on lower [co](#page-19-0)ordination Ni sites (defect sites). 27 Interestingly, the relative stability was strongly sensitive to metal structure, with *CH being more stable than C* on terrac[e](#page-19-0) sites, while the opposite behavior was found for step sites. These results strongly suggest that the subsequent hydrogenation of $*CH$ and formation of $CH₄$, an undesirable parallel reaction, is sensitive to metal structure and is favored on higher coordination metal sites. The oxidation of C* is also critical in SRE, because the carbon accumulation can lead to rapid catalyst deactivation. Carbon can be formed on the surface and in the subsurface layer by different routes, depending on the metal (this issue is discussed in section 3.3). The main challenges in the development of catalysts for SRE concerns the fine-tuning between the ethanol activation, [sub](#page-8-0)sequent C^* formation, and the removal of the C^* species by oxidation as well as the ability to hinder hydrogenation rearrangements of CH_x fragments that form $CH₄$ at low temperature.

Several theoretical studies have been conduct to address the most plausible ethanol activation pathways according to metal and structure. In the case of Pt, a metal with lower density of states near the Fermi level, Alcalá et al.²⁸ found that in terms of the stability and reactivity of the ethanol-derived surface species over Pt(111), 1-hydroxyethylidene (CH_3C^*OH CH_3C^*OH) and ketenyl (*CHC*O) presented the lowest energy transition states for C−O and C−C bond cleavage, respectively, and at high

Figure 3. Calculated reaction pathway and transition state structure for 2^* + CH₃CH₂OH → CH₃−CH₂−O^{*} + H^{*} on Co(0001) surfaces. The red arrows indicate the possible movement of corresponding species in the reaction step. The marked distances are in Å. Reprinted with permission from ref 34. Copyright 2012, American Chemical Society.

te[mpe](#page-19-0)ratures the C−C bond cleavage should be faster than the C−O. These results are endorsed by the absence products generated by the C−O bond cleavage, such as C_2H_4 and C_2H_6 ^{28–31} Accordingly, DFT study of ethanol conversion over Pt(111) by Vlachos and co-workers³² indicates that the initial H abs[tracti](#page-19-0)on from the α -C−H to form CH₃CH*OH (step 1A in Scheme 1) would be strongly mor[e f](#page-19-0)avorable thermodynamically over the O−H to form $CH_3CH_2O^*$ (step 1 in Scheme 1); however, [co](#page-1-0)nsidering the activation energy, the authors were not able to determine the predominant pathway. The ac[ety](#page-1-0)l (CH_3CO^*) is formed primarily via dehydrogenation of CH₃CH₂O^{*} or CH₃CH^{*}OH intermediate (steps 2–3 or 2A−3A in Scheme 1, respectively), and is converted to *CHC*O (steps 4−5, Scheme 1). The significant C−C bond scission occurs fro[m](#page-1-0) the *CHC*O intermediate. The increasing levels of dehydroge[na](#page-1-0)tion have the following as consequence: (i) the increase of the binding energies; (ii) the decrease in the activation energy for the C−C bond cleavage; and (iii) the increase in the activation energy for cleavage of the C−O and C−OH bonds. The C−H bond cleavage would occur before C−C²⁸ through the formation of ketenyl (*CHC*O) intermediary given methylidyne (*CH) and *CO as products (step 6 in Sc[he](#page-19-0)me 1).³² At high temperatures, dehydrogenation of $*CH_x$ species produces adsorbed carbon $(*C)$ (step 8 in Scheme 1).²⁹ The[re](#page-1-0)f[ore](#page-19-0), experimental and DFT results suggest that the main reaction pathway for $Pt(111)$ would involve steps 1A−3A [fo](#page-1-0)[llo](#page-19-0)wed of steps 4−6 in Scheme 1. The reaction pathway observed for Pt seems to be structure sensitive. For example, for Pt(311), Cong et al. 33 rep[ort](#page-1-0)ed a possible mechanism involving the adsorption of ethanol by α -C, followed by cleavage of C−C bonds [in](#page-19-0) a reaction pathway as follows: $H^* + CH_3CH_2^*OH \rightarrow CH_2^*OH + CH_4$.

In the case of Co, with a higher density of states near the Fermi level, most experimental results (Figure 1B) point to the formation of acetaldehyde at low temperatures, which is supported by theoretical studies. For exam[pl](#page-2-0)e, Ma et al. 34 investigated the reaction mechanism for SRE over the Co(0001) surface. The simulations showed that the etha[nol](#page-19-0) molecule adsorbs preferentially through O on a Co top site yielding adsorbed ethoxy species $(CH_3CH_2O^*)$ (Figure 3). The formation of acetaldehyde via the adsorbed ethoxy species was proposed as the rate-determining step. It has been also shown that the direct decomposition of $CH_3CH_2O^*$ to *CH_3 and HCHO* is not kinetically feasible due to a high-energy

barrier, whereas the dehydrogenation of $CH₃CH₂O[*]$ to form CH₃CHO^{*} prior to C−C scission is kinetically favorable.

Therefore, for Co, computational and experimental results indicate that the reaction proceeds initially through of cleavage of OH bond via steps 1 to 6, shown in Scheme 1. The products formed at low temperatures (below 720 K, Figure 1B) are similar to those obtained for the Ni-based catal[ys](#page-1-0)t (Figure 1A), but for Co, the $(CO_2+CO)/CH_4$ ratio was much large[r t](#page-2-0)han 1, indicating that abstraction of the H of acetyl species to [fo](#page-2-0)rm $*CH₂C*O$ or $*CHC*O$ species is favored over an early cleavage of the C−C bond, which is explained by the lower d band occupancy of Co compared to Ni.

The above-mentioned results indicate that the ethanol decomposition over Pt and Co could follow slightly distinct mechanisms. Whereas for Co, the ethanol activation takes place via OH bond cleavage forming ethoxy intermediate $(CH₃CH₂O[*])$, for Pt another pathway involving the α -C-H bond forming 1-hydroxyethyl (CH₃CH*OH) is also accepted. Nevertheless, these pathways are interconnected and the cleavage of C−C bond is favored after formation of *CHC*O intermediate via step 6 in Scheme 1. It seems to be a consensus that the cleavage of the C−O bond energy is too high to be broken over these surfaces.

In the case of Pd, Barteau and co-workers [hav](#page-1-0)e performed extensive TPD (temperature-programmed desorption) and HREELS (high-resolution electron energy loss spectroscopy) TREELS (ingu-resonation circuiton circuiton proceeded) studies,^{35–38} suggesting that the ethanol activation proceeded through η^2 -acetaldehyde and $\eta^1(\mathsf{C})$ -acetyl species, which would be a re[act](#page-19-0)i[on](#page-19-0) pathway involving the steps 1−3 in Scheme 1. 37,39 However, DFT studies by Li et al. 40 suggest an alternative pathway for ethanol decomposition on Pd (initial activati[o](#page-1-0)[n via](#page-19-0) step 1A and then following ste[ps](#page-19-0) 3−6 in Scheme 1): $CH_3CH_2OH \rightarrow CH_3CHOH \rightarrow CH_3CHO \rightarrow CH_3CO \rightarrow$ $CH_2CO \rightarrow CHCO \rightarrow CH + CO \rightarrow CO + H + CH_4 + C.$

Recently, Sutt[on](#page-1-0) and Vlachos 41 performed DFT calculations on close-packed surfaces of Co, Ni, Pd, Pt, Rh, and Ru and confirmed that over a single-m[eta](#page-19-0)l surface, dehydrogenation is highly favored and takes place before cleavage of C−C. The dehydrogenation should take place via the α -C-H on the lessoxophilic metals (Pd and Pt) and via the O−H on the moreoxophilic metals (Co, Ni, Rh, and Ru). On the other hand, Wang at al.⁴² found that an initial β -C-H bond forming adsorbed *CH₂CH₂OH and *CH₂CH₂O* dissociation is also feasible.

Accordingly, for $Rh(111)^{43}$ and $Ru(0001)$,⁴⁴ the formation of an oxametallacycle intermediate (*CH₂CH₂O*) by β -C-H activation was proposed (st[ep](#page-19-0) 1B in Scheme [1\).](#page-19-0) The formation of this species was confirmed by TPD and spectroscopy analyses.^{44,45} DFT studies on $Rh(111)$ surf[ac](#page-1-0)e suggested that initially the O−H is activated, and the ethoxide species further dehydro[gena](#page-19-0)te to an oxametallacycle species. Further dehydrogenation leads to the formation of *CHC*O, which undergo C−C bond breaking to yield methylidyne (*CH) and CO (steps 2B and 3B in Scheme 1).^{46,47} These results are in accordance with experimental data obtained by Sheng et al. 48 who reported that the presen[ce](#page-1-0) [of R](#page-19-0)h metal results in a substantial formation of CO at low temperature (310 K), whi[ch](#page-19-0) could be explained by the decomposition of an intermediate such as the oxametallacycle species. These results suggest that for Rh, the SRE pathway involves the steps 1B−4B in Scheme 1. However, different results were found by other authors, 41,49,50 suggesting a pathway passing through acetaldehyde [\(s](#page-1-0)teps 1−3 in Scheme 1). Although significant amounts of meth[ylidyne](#page-19-0) (*CH) and ethylidyne (*CCH₃) were found on $Rh(111)$,⁴⁹ DFT studies [sh](#page-1-0)owed that over the $Rh(211)$ surface, acetyl (CH₃C*O) species are formed.⁵⁰ These results endorse the poss[ibl](#page-19-0)e formation of acetaldehyde as an intermediate. Therefore, further experimental stu[die](#page-19-0)s are required under reaction conditions to elucidate the reaction mechanism over Rh.

In general, it has been accepted that the ethanol activation would take place at the O−H group. Nevertheless, several recent studies have shown that depending on the metal surface, ethanol can be activated through C−H bonds. The first ethanol activation will be important to determine the main reaction pathway, and therefore, the identification of the dehydrogenated intermediates will bring interesting information to elucidate the pathway for C−C bond breakage. The C−C bond cleavage will typically take place in an intermediate with a high dehydrogenation level. The C−O bond energy is too high in most metal surfaces, and its cleavage is not favorable; however, for the more oxophilic metals, such as Rh and Ru, a significant rate for the C−O bond breaking has been observed leading ethane production.

For all pathways, the ability of the metal to hydrogenate/ dehydrogenate the CH_x^{*} species formed by C−C bond scission governs the selectivity to CH_4 . The formation of CH_4 at low temperatures is undesirable, because the reforming of $CH₄$ will only occur at much higher temperatures (typically above 1073 K).²⁵ This is the case for Ni, for which the formation of CH₄ is strongly favored at low temperatures.⁶

[2.2](#page-19-0). Water Activation and Oxidation of Adsorbed **Carbon Species.** Activation of H_2O [i](#page-18-0)s a vital step in SRE due to the fundamental role of the *OH species in subsequent oxidation steps. The reactivity of Ni surfaces with H_2O can be low, as observed on $Ni(100)$ and $Ni(111)$ surfaces, ⁵¹ or very high on the low-coordination sites of the Ni(211) surface.²⁷ Bengaard et al.²⁷ calculated the barriers for the reacti[on](#page-19-0) H_2O^* + $* \leq$ *OH + *H a[nd](#page-19-0) found 91 and 38 kJ/mol for Ni(111) and Ni(211), resp[ect](#page-19-0)ively. The kinetics of the *OH dissociation step on transition metals has also been examined in several theoretical works, $52-58$ and it was shown that both adsorption step and consecutive *OH dissociation depend on the metal type and structu[re. Fo](#page-19-0)r example, the energy barriers for the reaction *OH + * \leq O* + H* obtained for planar Ni(111) surface and $Ni(211)$ were 96 and 114 kJ/mol, respectively. Because the oxidation of CO depends on the formation and dissociation of HO* species, it will be affected by the metal particle size.

After cleavage of the C−C bond and activation of H_2O at high temperature, the CO adsorbed on the metal is oxidized to form CO_2 (step WGS in Scheme 1) according to the wellknown water-gas shift reaction (WGS, eq 6).^{59,60} Experimental results for $SRE^{6,24}$ on Ni- and Co-[ba](#page-1-0)sed catalysts (Figure 1) indicated that increasing the temperature abo[ve 62](#page-19-0)0 and 680 K, respectively, ca[us](#page-18-0)[ed](#page-19-0) intensive CO conversion to CO_2 .

$$
WGS: CO + H_2O \leq CO_2 + H_2 \tag{6}
$$

Similar to CO oxidation, the oxidation of CH_x species on the metal surface proceeds at around 600 K. Experimental results for SRE on Ni-based catalysts indicated a decrease of $CH₄$ formation at around 620 K, which was attributed to either oxidation of CH_x species or decomposition of *CH_x species to *C and subsequent oxidation to $^*CO_{x}$ ⁶ DFT calculations showed that $*CH_x$ species could be oxidized by HO^* (eqs 7-10) during SRE on a Co(0001) [su](#page-18-0)rface.³⁴ However, although part of this mechanism (eqs $8-10$) is well-established for steam reforming of methanol, $61,62$ the first ste[p \(e](#page-19-0)q 7) is not kinetically favored.

$$
CH_3^* + *OH \le CH_3O^* + H^* \tag{7}
$$

$$
CH_3O^* + * \leq HCHO^* + H^* \tag{8}
$$

$$
HCHO^* + *OH \leq HCOOH^* + H^* \tag{9}
$$

$$
HCOOH^* + 2^* \leq CO_2^* + 2H^* \tag{10}
$$

At present, the most widely accepted mechanism for oxidation of CH_x species (eqs $11-13$) is via CH_x decomposition to *C, followed by subsequent oxidation to CO (Scheme 1, steps $8-10$).²⁹

$$
{}^*CH \leq C^* + H^* \tag{11}
$$

$$
C^* + O^* \leq CO^* + * \tag{12}
$$

$$
C^* + *OH \leq CO^* + H^* \tag{13}
$$

In summary, there are still several open questions related to the reaction mechanism of SRE on different metals that should be addressed both experimentally and theoretically. The right balance of the several steps (i.e., ethanol activation, C−C bond cleavage, oxidation steps, and dehydrogenation/hydrogenation of CH_x species) are critical to optimize the catalyst performance, in particular, its stability against carbon accumulation. In this aspect, the ORE reaction, with addition of O_2 , can be an alternative, but as discussed in the next sections, it can also bring new aspects related to metal oxidation and the mechanism pathways.

3. EFFECT OF THE METAL NATURE IN SRE

3.1. Interplay between the Metal Particle Size and Catalyst Behaviors in SRE. The surface of a metal nanoparticle intrinsically contain a large amount of lowcoordinated sites such as steps, kinks, and vacancies (broadly classified as defects) that have long been considered as important catalytic sites, because the reactants and intermediaries may bind strongly to these low-coordinated sites helping breaking the chemical bonds readily. The fraction of the lowcoordinated atoms increases significantly when the metal particle size decreases, concomitant with the decrease of atoms on terraces. As an example, 63 Figure 4 shows the evolution of the type of surface atom as a function of the metal

Figure 4. Percentages of different types of surface atoms as a function of particle size. Reprinted with permission from ref 63. Copyright 2012, American Chemical Society.

particle size (for a fcc cuboctahedral nanoparticle[,](#page-19-0) [th](#page-19-0)e terraces are either $\{111\}$ or $\{100\}$ type). Because the catalytic performance depends on the surface structure of the catalyst under the reaction environment, the interplay among various parameters, such as metal nanoparticle size, shape, and oxidation state as well as reaction conditions are critical.

In the case of SRE, several steps of the reaction mechanism are structure-sensitive, such as the CH_x species dehydrogenation/hydrogenation²⁷ (steps 7–8, Scheme 1) and the activation of water and oxidation of *C species (steps 8−9, Scheme 1), which ar[e fa](#page-19-0)vored on low-coordinatio[n](#page-1-0) metal sites. Therefore, the intrinsic catalytic activity of metal sites located on smal[ler](#page-1-0) particles could be different from that on the larger ones, even in the case where no deactivation occurs. In this aspect, undesired reactions such as the hydrogenation of CH_x species forming CH_4 (step 7, Scheme 1) and the growth of carbon filaments during the SRE are favored on higher coordination metal sites. In particular, [th](#page-1-0)e growth of carbon filaments requires an ensemble of atoms only found at the terraces and, as a consequence, is favored for particles above a critical size. 64 As a consequence, metal particle size will determine not only catalyst activity and selectivity but also carbon accu[mu](#page-19-0)lation and graphene growth in SRE. In fact, one of the main challenges to be overcome in SRE is the deactivation of catalysts by carbon accumulation that is briefly discussed in section 3.3.

The metal particle size is also a determining factor in the metal susceptibility [to](#page-8-0) oxidation under reaction conditions. Thus, the catalyst surface can easily change and adopt the structure corresponding to thermodynamic equilibrium that is dependent on the particle size.⁶⁵ Although the activation of ethanol via ethoxy species can occur on metallic or oxidized sites, the cleavage of C−H bo[nd](#page-19-0)s without oxidation of H* species is an exclusive feature of metals, and as a consequence, the oxidation state is also an important parameter in the SRE mechanism.

A great number of publications are found in the literature addressing the catalytic activity dependence on variables such as temperature of calcination, metal loading, or metal precursor as a way to tune the particle size. However, all these variables may modify other parameters of the catalyst, making difficult to directly correlate particle size and catalytic performance.⁶ Alternative synthetic methods have been explored, aiming to get more control of the metal dispersion when compared [to](#page-19-0) t[he](#page-19-0) conventional impregnation. For example, Ribeiro et al. 24 addressed the use of colloidal Co nanoparticles with different sizes (3–8 nm range) supported on $SiO₂$ and showed that smaller particles were more active to SRE. Recently, da Silva et al.⁸⁰ studied the influence of cobalt particle size in SRE using well-dispersed Co nanoparticles deposited on carbon nanofi[ber](#page-19-0)s. The turnover frequency increased with decreasing Co particle size, which was ascribed to the increasing number of unsaturated cobalt surface atoms. Moreover, the smallest Co particle sizes showed the lowest catalyst deactivation, which was due to a lower amount of carbon deposition and less sintering (see section 3.3).

The lower coordination number in smaller particles should not only le[ad t](#page-8-0)o a geometrical effect but could also affect considerably the surface electronic properties in the metal nanoparticle and, consequently, the catalytic activity. For example, as mentioned before, *CH and C* species stability was higher for $Ni(211)$ compared to $Ni(111)$, which modifies the catalyst properties for hydrogenation, CO oxidation, and carbon growth.²⁷ In the case of Rh, computational studies revealed that different species were formed preferentially on R[h\(](#page-19-0)111) or Rh(553).⁴⁹ There is a decrease in the C−H dissociation barrier when changing from Rh(111) to Rh(553), favoring the formation [o](#page-19-0)f adsorbed carbon in $Rh(553).$ ⁴⁹ The better ability of Rh (553) to break C−H bond is due to its higher C binding energy and oxygen coverage. Similarly, α -C-H cleavage appears to be more favorable on $Pt(311)^{33}$ which affects the ethanol decomposition mechanism if compared to $Pt(111)$.

Therefore, it is important to keep in mind that changes of metal dispersion usually are followed by changes of other properties such as degree of metal reduction and interaction with the support, which result in correlated variables. Despite the limited number of experimental studies correlating directly the metal particle size with catalytic performance in SRE , 14,24,80 undoubtedly this is one of the main parameters of the catalyst to be optimized for each system and reaction condition[s.](#page-19-0)

3.2. Importance of Metal Oxidation State. The outlet stream of SRE is composed of redox atmosphere, and the catalyst may undergo reduction or oxidation during reaction as a function of the catalyst bed position. The reaction of the metal nanoparticle with oxygen is favored by the presence of $H₂O$ or $O₂$ (in the case of ORE) and in some cases by the interaction with the oxide support, contributing to catalyst deactivation due to suppression of the active metal sites. On the other hand, the formation of reducing agents, such as CO and $H₂$ during the SRE reaction, contributes to regenerate the active sites.¹³

The redox equilibria and phase stability of transition metal oxid[es](#page-19-0) at nanoscale are strongly influenced by the surface energy. The metal redox equilibrium (Metal + $O \leq Metal$ —O) depends on the free energy, and it has been shown that the oxidation is favored by decreasing both the metal particle size and the temperature.⁶⁵ Spinel phases, such as $Co₃O₄$, MgAl₂O₄ or $Fe₃O₄$, have lower surface energies than other oxides of the same metal. For exa[mp](#page-19-0)le, the calculated Co−O phase diagram of 10 nm nanoparticles shows a much diminished stability for the divalent oxide (surface energy of 3.57 $J/m²$).⁶⁵ Therefore, under reaction conditions, the Co^{2+}/Co^0 ratio could be controlled, in principle, by manipulating co[mp](#page-19-0)osition of reactants and temperature, as well as by manipulating the Co cluster sizes. The understanding of the redox equilibria of metal nanoparticles is therefore an important point.

It is known that small metal nanparticles are less stable than bulk metal due to the significant contribution of the surface

energy. For example, the surface energy of cobalt metal nanoparticles has been estimated from the number of broken Co−Co bonds on the particle size. The thermodynamic approach was employed by van Steen et $al.^{81}$ to evaluate the stability of cobalt metallic nanocrystals in water/hydrogen mixture. The thermodynamic calculations sh[owe](#page-19-0)d that spherical cobalt crystallites with a diameter less than 4.4 nm are unstable and readily reoxidized to CoO under realistic Fischer−Tropsch synthesis conditions $(P_{H_2O}/P_{H_2} < 1.5, T = 493 \text{ K})$.⁸¹ For SRE, in which a larger amount of $H₂$ is formed even at moderate conversions, the oxidation threshold should occ[ur](#page-19-0) at much smaller size. Accordingly, Luo et al. 82 performed ab initio thermodynamics studies on CoO surfaces and suggested that under SRE, the presence of a reducible [o](#page-19-0)xide may be necessary to stabilize Co^{2+} . However, as pointed out by Zheng et al.,⁸ kinetic barriers may trap metastable phases, and a deep evaluation of the impact of the reaction conditions on the me[tal](#page-20-0) oxidation state has to be done.

From the experimental point of view, the influence of metal oxidation state on the activity and selectivity to H_2 in SRE and ORE over metal-based catalysts has been reported.¹³ The catalyst deactivation and decrease in selectivity to H_2 during ORE over Co- or Ni-based catalysts was attributed [to](#page-19-0) the oxidation of the surface of Ni or Co particles by oxygen from the feed.^{84–86} In this aspect, the addition of noble metals in supported Co and Ni catalysts⁷⁰ has been shown to play a significa[nt](#page-20-0) r[ole](#page-20-0) in stabilizing the metallic phase increasing the performance of the catalysts (t[his](#page-19-0) will be discussed in section 4).

So far, most of the studies have been dedicated to understand [C](#page-9-0)o-containing systems, and for sake of simplicity, we will restrict the discussion about the role of the metal oxidation state in this system. Several similar and interesting aspects discussed for Co are also expected for other metals, in particular in the more oxophilic ones. The two most common crystalline phases of cobalt oxides are CoO and $Co₃O₄$, both showing interesting electronic and magnetic properties in a wide variety of scientific and technologies applications. The most common catalyst precursor is $Co₃O₄$, which is activated in hydrogen before reaction. The transformation mechanism among various cobalt oxides in hydrogen or in oxygen atmosphere is therefore of a great importance and intrinsically related to the formation of the active species of the catalyst as well as to the regulation of the dispersion degree and structure, according to the reaction conditions.

Potoczna-Petru and Kepinski⁸⁷showed that the reduction of $Co₃O₄$ to metallic Co proceeds in two steps via rocksalt CoO phase (r-CoO) formation. B[ase](#page-20-0)d on electron microscopy analysis, it was found that the degree of reduction of Co_3O_4 strongly depends on the particle size and morphology, which were determined by the pretreatment conditions. For the smallest particle (5.3 nm), the reduction to metallic Co proceeds directly at low temperature (573 K). However, for bigger particles (12.1 and 20.3 nm), coexisting phases of Co, CoO, and $Co₃O₄$ were detected at temperature interval of 573−773 K. It has been proposed that the reduction is controlled by the surface defect concentration, which facilitates the reduction process. More recently, Nam et al.⁸⁸ showed that kinetic control conditions (rapid heating at high temperatures) during preparation of pure oxide nanocrystals ([>2](#page-20-0)0 nm) could produce a metastable wurtizite-oxide phase (w-CoO), while thermodynamic control conditions (prolonged heating at lower

temperatures) produce the r-CoO. During the oxidation process, w-CoO phase was converted into $Co₃O₄$ phase via formation of r-CoO phase, but the r-CoO phase was directly oxidized to $Co₃O₄$.

Interesting results about surface reactivity of Co nanoparticles and $Co(0001)$ single crystal toward H₂ and O₂ atmospheres were obtained by Papaefthimiou et al.⁸⁹ by combining ambient pressure photoelectron spectroscopy and in situ X-ray absorption fine structure spectroscopy (XAFS[\) d](#page-20-0)ata. The Co nanoparticles supported on a thick amorphous carbon film were prepared by the low-energy cluster deposition technique. The authors clearly showed that the redox behavior of Co was drastically modified due to size effects. Contrary to the stable r-CoO and $Co₃O₄$ spinel phases that exist in the bulk oxides, Co nanoparticles contained a significant portion of a metastable w-CoO that was more difficult to be reduced or oxidized (Figure 5). The possible reason for this difficulty is the

Figure 5. Schematic representation of redox behavior of a 3.5 nm Co nanoparticles supported on amorphous carbon compared to a Co(0001) crystal surface, at 520 K. Reprinted with permission from ref 89. Copyright 2011, American Chemical Society.

str[uct](#page-20-0)ural transformation that has to take place to transform w-CoO to $Co₃O₄$ or Co metal.⁸⁹ Although the driving force to stabilized the w-CoO phase in the Co nanoparticles was not fully understood by the auth[ors](#page-20-0), it was suggested that it could be related to the presence of traces of diluted surface carbon that may have stabilized metastable surface structures.⁹⁰ In fact, earlier studies⁹¹ on colloidal Co nanoparticles showed that smaller particles were easier to be reduced compar[ed](#page-20-0) to the larger ones. T[he](#page-20-0) important point clarified by these works is that the redox equilibrium in nanoparticles can be determined by high kinetic barriers related to structural phase transformations, induced or not by the interaction with the support and that they will be dependent on the particle size.

Another example was given by Avila-Neto et al., 13 in which they used temperature-resolved XANES- H_2 (X-ray absorption near edge structure) to elucidate the phase transf[orm](#page-19-0)ation of Co-based catalysts supported on different oxides $(A_2O_3,$ $CeO₂-Al₂O₃$ and $La₂O₃-Al₂O₃$ as a function of reducing temperature. It was shown that a two-step reduction process occurred for all catalysts: $Co_3O_4 \rightarrow CoO \rightarrow Co^0$, but the temperature threshold depends on the support. The faster reduction of $Co₃O₄$ to CoO and the higher maximum of CoO concentration achieved for $Co/La_2O_3-Al_2O_3$ and $Co/CeO_2 Al_2O_3$ reflected the lower interaction of Co_3O_4 with these supports compared to Al_2O_3 .

As shown above, the stability against oxidation/reduction of the nanoparticles, exemplified for Co, is affected by the nature of the nanoparticle (such as size and composition), interaction with support, and reaction conditions. As a consequence, it is highly desirable to characterize the catalysts under operando $92,93$ conditions to obtain structural and electronic

information simultaneously with activity/selectivity measurements. SRE and ORE have been studied in situ or operando conditions using different characterization techniques.

Martono and $Vohs⁹⁴$ evaluated ethanol reaction on model $Co/YSZ(100)$ (YSZ = yttria-stabilized $ZrO₂$) catalysts and found that whereas [m](#page-20-0)etallic Co sites promoted ethanol decarbonylation producing CO, H_2 , and adsorbed CH₃ species, the presence of oxygen adatoms on metallic Co favored the formation of acetaldehyde as schematized in Figure 6. These

Figure 6. Schematic diagrams showing the ethanol reaction over metallic cobalt and oxygen-covered metallic Co. Reprinted with permission from ref 94. Copyright 2011, American Chemical Society.

results indicated t[hat](#page-20-0) the presence of adsorbed O atoms on the metal surface can increase the activity to ethanol dehydrogenation, and as a consequence, an increasing metal coverage with intermediates of adsorption of acetaldehyde is expected. In contrast, the ethanol dehydrogenation on CoO was much less reactive than metallic or oxygen-covered cobalt, suggesting that adsorbed oxygen plays an important role in this reaction.

It is worthwhile to mention that from the theoretical point of view, the density of O and OH species on the metal surface is correlated with the free energy of these species, which have been calculated in typical conditions of steam reforming.²⁵ The density of O and OH species is expected to decrease in the following order: $Co > Ru > Rh > Ni \gg Pt \gg Pd$. Dep[en](#page-19-0)ding on the steam-reforming conditions, especially for Co and Ru, the high O and OH species coverage can oxidize the metal surface, which would change the main dehydrogenation pathway.

The metal redox equilibrium has been mainly addressed experimentally on Co-based catalysts, and metallic Co and $Co²⁺$ species have been detected under SRE through different techniques, such as magnetic measurements, in situ XRD (Xray diffraction), and in situ XAFS. Llorca et al.⁹⁵ investigated various supported cobalt catalysts (Co/MgO, Co/Al₂O₃, Co/ SiO_2 , Co/TiO_2 , Co/V_2O_5 , Co/ZnO , Co/La_2O_3 , Co/CeO_2 , and Co/Sm_2O_3) by magnetic measurements by submitting the catalyst to SRE and then transfer to the magnetic measurements without exposition to air. The authors detected both Co and $Co²⁺$ species and suggested that both coexist under reaction conditions. de la Peña O'Shea at al.⁹⁶ investigated the evolution of nanometric Co₃O₄ (∼21 nm) by in situ XRD and observed that while $Co₃O₄$ is active for d[eh](#page-20-0)ydrogenation of ethanol, leading to acetaldehyde, CoO and Co^0 , which are formed under reaction conditions at higher temperatures (>573 K), are the active species in SRE.

The catalytic roles of Co^0 and Co^{2+} in SRE pathways were investigated in more detail on Co/MgO catalysts. $\overset{97}{\ }$ The Co⁰/ (Co^0+Co^{2+}) fraction was varied using different calcination and reducing treatments. The reaction pathways duri[ng](#page-20-0) SRE were shown to be different on Co^0 and Co^{2+} . Co^0 was much more active than Co^{2+} for ethanol conversion, C–C cleavage, and WGS reaction, whereas formation of $CH₄$ was enhanced on

 $Co²⁺$. Minimization of $Co²⁺$ species and stabilization of $Co⁰$ against oxidation were crucial to achieve a high H_2 productivity and yield.

Similar results were obtained by Lebarbier et al.⁹⁸ by addressing the effect of Zn promoter in the Co^0/Co^{2+} ratio of $Co/ZrO₂$ catalysts [th](#page-20-0)rough different characterization methods. It was shown that addition of Zn inhibits the oxidation of metallic cobalt particles by $\rm H_2O$ leading to a higher $\rm Co^0/Co^{2+}$ ratio, which promotes the ethanol conversion through dehydrogenation. In addition, it was found that $Co²⁺$ plays a major role in the CH_4 formation and that the degree of CH_4 formation can be lowered by inhibition of Co oxidation with Zn.

Avila-Neto et al. 13 showed that for Co-supported catalysts on both SRE and ORE, the rate of carbon deposition depends on the degree of oxid[ati](#page-19-0)on of the Co particle (Figure 7). A linear

Figure 7. Correlation of carbon deposition with H_2 uptake (degree of Co reduction) of Co supported catalysts under SRE and ORE. The oxides supports, Al_2O_3 , $CeO_2-Al_2O_3$, and $La_2O_3-Al_2O_3$, were abbreviated as Al, Ce-Al, and La-Al, respectively. Reproduced with permission from ref 13. Copyright 2012, Elsevier.

behavior between [ox](#page-19-0)idation degree of the Co particle (the higher H_2 uptake, the more reduced is the particle) and rate of carbon deposition was observed. Carbon deposition mechanisms are discussed in more detail in section 3.3. Concerning the metal oxidation state, by performing in situ XANES, the authors showed the evolution of the oxid[ation](#page-8-0) state as a function of temperature under reaction conditions. After reduction and exposition to reactants of SRE or ORE at low temperature (373 K), all tested catalysts were partially oxidized, showing about 20−25% of Co^{2+} (Figure 8). The oxidation further proceeded by increasing the temperature due to the presence of H_2O reaching a maximum oxid[ati](#page-8-0)on of about 50% of $Co²⁺$ at around 573 K. Above this temperature, the fraction of metallic Co increased, indicating the reduction of the catalyst by ethanol, reaching a maximum at 733 K. Under ORE, the oxidation was more aggressive, with maximum oxidation degree around 90% at 673 K. The fraction of metallic Co under reaction conditions depended on the nature of support and on the presence of Pt, following the order of Co/Al < Co/Ce−Al < Pt−Co/Ce−Al (Figure 8D). By analyzing the reaction outlet by mass spectrometry, it was shown that ethanol undergoes oxidative dehydrogenatio[n](#page-8-0) at low temperature, whereas the reforming products $(H_2, CO \text{ and } CO_2)$ were observed at temperatures higher than 623 K (Figure 8C). These results correlate well with the evolution of metal oxidation state.

Figure 8. (A) Temperature-resolved XANES-SRE spectra of Co/Ce−Al and (B) evolution of Co species as a function of temperature in this sample. The absorption intensity in (A) increases from lighter to darker color. (C) Effluent composition of the reactor for Pt-Co/Ce-Al under ORE (X_i – conversion, \bullet H₂, ∇ CO₂, \star acetaldehyde, \Box CH₄, Δ CO). (D) Evolution of Co species as a function of temperature under SRE and ORE for different catalysts. Adapted with permission from ref 13. Copyright 2012, Elsevier.

The results presented above clearly point out t[ha](#page-19-0)t both metal and oxidized species play very important roles during SRE or ORE, and this finding motivates a deeper discussion about the nature of the active sites for ethanol reforming and how they affect the reaction mechanism. In this aspect, an important point already mentioned is how the metal redox equilibrium affects the carbon deposition, a major issue for SRE. Therefore, to obtain an optimized catalyst for SRE, the interplay between metallic and oxide states and their fine-tuning seems to be critical. The studies on Co nanoparticles showed that coverage of O and OH species or a high oxidation degree changes the dehydrogenation mechanism, and the acetaldehyde formation can be favored. On the other hand, at these conditions, the metallic surface sites can be blocked, diminishing the catalyst activity for further reforming of the intermediates and C−C bond cleavage. At low temperature, Co tends to be more oxidized compared to Ni, and therefore, the main product for ethanol conversion over Co is acetaldehyde while CO and CH4 are formed over Ni (Figure 1).

3.3. Carbon Accumulation in SRE. One of the main challenges in SRE is the co[nt](#page-2-0)rol of carbon deposition on the metal surface, which is a fundamental requirement for a stable and active catalyst. A great number of experimental and theoretical studies have addressed the deactivation problem in reforming of hydrocarbon, especially for Ni-based catalysts. The main pathway leading to carbon atoms formation on the catalyst surface in SRE is the CH_x decomposition to *C (step 8

in Scheme 1). The steps of oxidation of C^* and activation of ethanol should then be equilibrated to avoid carbon accumulati[on](#page-1-0) on the metal surface (step 10 in Scheme 1).

Three forms of chemisorbed carbon on Ni-based catalyst have been distinguished: on-surface carbon, subsurface [ca](#page-1-0)rbon, and graphene islands.⁹⁹ It has been shown for Ni catalysts that graphene is thermodynamically the most stable form. Between the other two forms, [s](#page-20-0)ubsurface carbon is thermodynamically more stable than on-surface carbon, and its formation depends on the energy involved in the carbon diffusion to a subsurface.^{99,100} At a high carbon coverage on the metal surface, migration to subsurface takes place (i.e., carbon diffuses partially t[o subs](#page-20-0)urface positions or to bulk positions), which modifies the nature of the sites on the surface.⁹⁹ The surface and subsurface carbon have a great influence on the stability of adsorbed species and thus on the reaction [kin](#page-20-0)etics. TEM (transmission electron microscopy) studies^{101−108} of carbon deposition on 3d transition-metal-based catalysts (i.e., Ni, Co, Fe) showed that after metal saturation, the c[arbon se](#page-20-0)gregates to the metal surface, initiating the graphene formation. A surface diffusion mechanism has also been proposed for carbon deposition on the metal surface.^{108,109}

The initial stage in the mechanism of graphene growth on transition-metal surfaces was stu[died by](#page-20-0) DFT calculations. $27,108$ It was proposed that graphene growth proceeds via a step-flow mode on face-centered cubic (fcc) and hexagonal cl[os](#page-19-0)[ed](#page-20-0)packed (hcp) metal surface of Ni and Co; that is, the step edges

on both metal surfaces may act as preferential nucleation sites for graphene growth. Contrarily, on Rh, Ru, and strained Ni, an unstable and finite graphene layer is formed, indicating that the carbon nucleation is energetically unfavorable in these surfaces. $27,108$ These results were related to the different match of metal lattice in each case with the graphene lattice. Althoug[h](#page-19-0) [for](#page-20-0) Ni, the match is very closed, for Ru, Rh, and strained Ni, the metal lattice is too large, and the graphene formation is hindered. The similar results found for Ru, Rh, and strained Ni indicate that the geometric effect was more important than the electronic one.

As mentioned above, the bulk solubility and diffusion of carbon atoms play a critical role in the carbon formation on the surface of 3d transition metals, which is not applicable to noble metals due to the very low solubility of carbon in these metals. 110 Peng and coauthors 111 examined the effect of the shape and particle size on the growth of graphene layers on MgO-s[upp](#page-20-0)orted Pt nanopartic[les.](#page-20-0) In contrast to the observations for graphene growth on Ni nanoparticles, 104 the Pt particles retain their compact and faceted shape during carbon accumulation. It was shown that graphene layer gr[owt](#page-20-0)h on Pt particles is strongly dependent on Pt particle size, as is schematically illustrated in Figure 9.

The results above clearly show that the formation of graphene layers and carbon filaments on the catalyst surface under reaction conditions depend on the nature of the metal

Figure 9. Schematic illustration of the impact of particle size on the growth of graphene on Pt/MgO: (a and b) graphene layer on (111) Pt surface; growth initiated at a [101] step; (c and d) encapsulation of large Pt particles (∼6 nm) by graphene; (e and f) growth of nanotubes from medium Pt particles (∼2−6 nm); (g and h) formation of graphene layer on small particles (<2 nm) that migrates to the support. Reprinted with permission from ref 111. Copyright 2012, Elsevier.

and its particle size. The control of carbon accumulation depends on the availability of surface oxygen required to oxidize the *C species. Therefore, the equilibrium between ethanol activation and carbon removal is a key point to be found. Under SRE, the presence of reactants with high redox potential such as H₂, CO, and H₂O (and O₂ in ORE) as well as the metal– support interaction will tune the availability of surface oxygen according to the gas composition, temperature, and metal particle size. In fact, the metal nature and particle size impacts not only on the oxidation state of the metal but also on the type of carbon that is formed.

For example, Chen et al.⁷⁴ showed the dependence of carbon accumulation on metal particle size under ORE by studying the Ni-based catalyst obtai[ne](#page-19-0)d from reduction of $LaNiO₃$ peroviskite or by impregnation of a Ni precursor on $La₂O₃$ (10 and 23.9 wt %). The results presented in Figure 10a−f show that the resistance to carbon accumulation is higher on the LaNiO₃-derived catalyst due to the higher dispersion [of](#page-10-0) Ni. Accordingly, it has been suggested that the carbon deposition rate is minimized for Ni particles with sizes below 10 nm.¹¹² Moreover, for the $Ni/La₂O₃$ catalyst synthesized by impregnation, a significant formation of carbon filaments/nanotubes [and](#page-20-0) graphitic carbon covering the Ni particles was observed .

Cobalt catalysts also displayed an effect of the particles sizes in the carbon accumulation. For example, Ribeiro et al. 24 showed that the average rates of deposited carbon under SRE decrease from 0.010 to 0.002 g carbon h^{-1} by decreasing t[he](#page-19-0) Co nanoparticle mean diameter from 7.8 to 3.2 nm on model $Co-SiO₂$ supported catalysts. Similar size dependence was found by da Silva et al.⁸⁶ on Co particles supported on carbon nanofibers. Soykal et al.¹¹³ carried out SRE over $Co/CeO₂$ catalysts with two diff[ere](#page-20-0)nt $CeO₂$ particle sizes, 95 nm $(Co/$ $CeO₂(MP))$ and 6.5 nm $(Co/CeO₂(NP))$. The smaller size of the support particle in $Co/CeO₂(NP)$ led to a better Co dispersion. As shown in Figure 11A, significant loss of activity within 12 h was observed for $Co/CeO₂(MP)$, accompanied by pressure buildup in the react[or,](#page-10-0) suggesting carbon accumulation. TEM images (Figure 11B) show the formation of different carbon types on the metal surface: the larger Co particles (about 25 nm) in $Co/CeO₂(MP)$ $Co/CeO₂(MP)$ $Co/CeO₂(MP)$ favor the formation of carbon filaments while the smaller ones (about 5 nm) in Co/ $CeO₂(NP)$ lead to the encapsulation by a carbon layer. The authors claimed that a combination of factors lead to the difference in performances not only related to the metal but also to the support. In fact, da Silva et al. 86 pointed out that smaller Co particles (<4 nm) tend to deactivate under ORE condition due to oxidation of the metal [ra](#page-20-0)ther than carbon accumulation.

These results presented above briefly illustrate several aspects of catalyst and reaction conditions that impact on carbon accumulation and should be tackled.

4. IMPORTANCE OF THE METAL SURFACE ELECTRONIC PROPERTIES MODIFIED BY ALLOY FORMATION TO OBTAIN A BALANCED AND STABLE CATALYST

The use of bimetallic catalysts is a strategic option to modify the electronic properties of metal surfaces^{114−119} and successful examples can be found in several catalytic processes.^{120−123} However, despite the efforts undertook t[o unders](#page-20-0)tand structure and properties of bimetallic catalysts, there is a broad [se](#page-20-0)t [of](#page-20-0) variables that could be deeply explored concerning the specific

Figure 10. Transmission electron microscopy images and corresponding particle size distribution of Ni-based catalysts after ORE reaction: (a) and (d) reduced LaNiO₃ peroviskite, (b) and (e) 10 wt % Ni impregnated on La₂O₃, and (c) and (f) 23.9 wt % Ni impregnated on La₂O₃. Reprinted with permission from ref 74. Copyright 2012, Elsevier.

Figure 11. (A) Time-on-stream performance of $Co/CeO₂(MP)$ and $Co/CeO₂(NP)$ during SRE (MP = micrometric CeO₂ particles; NP = nanometric $CeO₂$ particles). (B) TEM images showing the carbon species deposit under SRE (a) $Co/CeO₂(MP)$ and (b) $Co/$ $CeO₂(NP)$. Reprinted with permission from ref 113. Copyright 2012, American Chemical Society.

conditions of SRE and ORE. The addition of [prom](#page-20-0)oters has been extensively studied in the reforming of hydrocarbons as a mechanism to avoid carbon accumulation with time on stream. For example, Besenbacher et al.⁵ and a recent review by Wei et al.¹²⁴ addressed the use of bimetallic catalysts for H_2 production in reforming of hydrocarbons. [It](#page-18-0) is well-known that Ni surface m[odi](#page-20-0)fied with Au or Ag increases the stability of the catalyst.5,125,126 The presence of Au or Ag over Ni surface decreases the d-band center, decreasing the activation of m[e](#page-18-0)thane [relativ](#page-20-0)e to H_2O , increases the reactivity of C^* and avoids carbon accumulation. However, similar bimetallic Ag− Ni catalysts did not show higher performance in SRE, probably due to the higher reactivity of ethanol relative to methane.⁶

Sutton and Vlachos 41 evaluated the ethanol activation in several metals and reported a linear trend between th[e](#page-18-0) O binding energy to the [me](#page-19-0)tal and the activation energy to break the $β$ -C−H, C−C, C−O, and C−O(H) bonds (Figure 12).

Figure 12. Trends in minimum activation energies for β-C−H, C−C, C−O and C−O(H) bond break, as a function of O atomic binding energy in a series of different metal surfaces. Reprinted with permission from ref 41. Copyright 2015, American Chemical Society.

Even though these results are presented for single metals, it allows inferring about the reactivity of these intermediates in alloys.¹²³ For example, by alloying Ni and Co it could be expected changes in the catalytic properties compared to Ni, such [as \(](#page-20-0)i) increase in O binding energy, leading to a higher O coverage on the metal surface; and (ii) decrease in the minimum activation energy for β-C−H, C−C, C−O and C− O(H) break. However, the main reaction pathway should not change in the NiCo alloy, since both Ni and Co reacts with ethanol through a similar pathway. Contrarily, if Ni is alloyed to Pt or Pd, for example, it is reasonable to suppose that the main

Figure 13. Effect of Cu addition to $Co_{12}/MgAl_2O_4$ (A) number of first neighbors of Co−Co in a fcc cuboctahedral Co core as a function of core diameter, obtained by EXAFS and (B) number of first neighbors of Co−O in a face-centered cubic cuboctahedral shell, as a function of shell thickness, obtained by EXAFS. (C) and (D) Rate of carbon accumulation under SRE (H₂O/ethanol = 12, $T = 823$ K) as a function of number of first neighbors of Co in the core (C), and number of first neighbors of Co−O in the shell of Co12Cu^x nanoparticles (D). The corresponding estimated core diameters and shell thicknesses are shown in the top axis. Reprinted with permission from ref 130. Copyright 2013, Elsevier. The dashed line in (A) correspond to the dependence of coordination number with the diameter of a nanoparticle based on the work by Calvin et al.¹³

pathway will be correlated with the nature of the alloy formed at surface.

For example, high reforming activity on Ni−Pt catalysts was found by Skoplyak et al.¹¹⁵ who showed that the Ni−Pt− Pt(111) surface with an upper monolayer of Ni presents greater reforming activity than $Pt(111)$ $Pt(111)$ $Pt(111)$, thick $Ni/Pt(111)$, or a surface with a subsurface monolayer of Pt−Ni−Pt(111). This behavior was explained by DFT calculations, which indicated that the presence of a Ni surface monolayer on $Pt(111)$ shifts the surface d-band center closer to the Fermi level, increasing the interactions with adsorbates. In addition, it was shown that the upward shift in the d-band of the 3d−Pt-Pt(111) surface increases as the 3d metal moved to the left of the periodic table. DFT modeling studies reveled similar results for Fe−Pt− Pt(111) and Ti-Pt-Pt(111) alloys.^{31,115,127,128} Also, Sanchez-Sanchez et al.¹¹⁶ used DRIFTS-MS (diffuse reflectance infrared Fourier transform spectroscopy cou[pl](#page-19-0)[e to mass](#page-20-0) spectrometry) analyses to i[nves](#page-20-0)tigate ethanol decomposition and SRE on Pt, Ni, and PtNi supported on γ -Al₂O₃, and found higher catalytic activity for the bimetallic catalyst.

By alloying metals that results in d-band upward shift, such as Ni−Pt and Co−Ni, a stronger bonding of adsorbates and an increase in activity toward cleavage of C−C and C−H bonds of ethanol relative to monometallic Co and Ni is expected. The opposite is expected in alloys in which the d-band center is shifted downward, such as Cu−Co, Cu−Ni, and Pt−Co. Nevertheless, the impact of d-band shift on carbon accumulation is still not clear because [it](#page-20-0) [a](#page-20-0)lso depends on the relat[ive](#page-20-0) activity to the carbon oxidation by H_2O .

For example, in the case of bimetallic Cu−Co supported catalysts, in a catalyst with Cu/Co molar ratio of 0.25, the presence of Cu favored the desorption of acetaldehyde, leading to a reduction in the activity toward C−C bond cleavage.^{129,13} For this catalyst, the Cu/Co molar ratio at the surface was about 40 times larger than the nominal concentratio[n. An](#page-20-0) interesting result found in the Cu−Co system was the correlation of the carbon accumulation ratio with the Cu contents. Despite the enrichment of Cu on the Co surface in all catalysts, found by XPS (X-ray photoelectron spectroscopy), EXAFS (extended X-ray absorption fine structure) results indicated the alloying of Cu−Co and showed an increase in the Co−Co coordination number with Cu content (Figure 13A). This was associated with an increase in the metal particle size when Cu was added to Co, with an apparent correlation between metal particle size and carbon accumulation during SRE (Figure 13C). However, the redox properties of the catalyst also changed by Cu addition, whereas the presence of Cu led to a lower degree of Co oxidation (Figure 13B), modifying its reactivity toward oxidation reactions. The high sensitivity of the amount of oxidized species to the reaction conditions suggests that a shell layer was formed on a reduced core, as illustrated schematically in Figure 13B. The correlation of the rate of carbon accumulation during SRE with the number of first neighbors of Co−O in the shell of $Co_{12}Cu_x$ nanoparticles (Figure 13D) suggests that carbon deposition

decreased with increase of Co−O species. The chemical composition of the surface therefore determined the electronic properties, with the thickness of the shell formed on a reduced core controlling the density and reactivity of metal−metal oxide on the surface.

Bimetallic Cu−Ni catalysts are one of the most studied bimetallic systems for SRE.67,132[−]¹⁴⁰ Nevertheless, different conclusions have been reached concerning the use of Cu−Ni catalysts for SRE and ORE[.](#page-19-0) [For exa](#page-20-0)mple, Vizcaino et al. $\frac{8}{5}$ reported that in SRE, the WGS reaction was promoted by the addition of Cu in Ni catalysts. Biswas and Kunzru¹³⁸ al[so](#page-19-0) reported that the addition of Cu to a $Ni/CeO₂–ZrO₂$ catalyst resulted in higher activity for WGS as well as for CH₃CHO decomposition and reforming reactions. Velu et al.¹⁴⁰ showed that in the case of ORE, using CuNiZnAl mixed oxide catalysts, the Cu-rich catalysts favored the dehydrogenation o[f et](#page-20-0)hanol to $CH₃CHO$ (steps 1–2 in Scheme 1), but the reforming reaction was enhanced by the presence of Ni.

Casanovas et al.¹⁴¹ used $Pd/SiO₂$ $Pd/SiO₂$ $Pd/SiO₂$ and Pd/ZnO in the SRE and ORE in the temperature range of 548−723 K to show the formation of PdZ[n all](#page-20-0)oy under reaction. On Pd/SiO₂, H₂, CO, and CH4 were observed during both reactions, suggesting that the decomposition of ethanol or acetaldehyde (eqs 3−4) was the main reaction during SRE or ORE. On the contrary, H_2 , CO2, CH4, acetaldehyde, and dimethylketone were o[bserv](#page-2-0)ed on Pd/ZnO, suggesting a steam-reforming mechanism for both reactions, which occurred on the PdZn alloy. The formation of PdZn alloy during SRE and ORE was showed by HRTEM (high-resolution TEM) and XRD. The authors attributed the formation of acetaldehyde by dehydrogenation of ethanol (steps 1−2 in Scheme 1) and the formation of dimethylketone via acetaldehyde condensation in basic surface sites on ZnO. These results are in agr[ee](#page-1-0)ment with the work by Jeroro et al., 142 in which TPD and HREELS were used to study the adsorption and reaction of ethanol and acetaldehyde on two-dimensio[nal](#page-20-0) PdZn alloys deposit on Pd(111), and they found a decrease in the dehydrogenation activity of PdZn alloy and an increase in the barrier for C−H bond cleavage in adsorbed alkoxide and aldehyde intermediates.

It is known that the addition of noble metals, such as Rh, Ru, and Pt, in small amount (0.01 wt %) to Co-supported catalysts $13,85$ increases both the degree of reduction of Co and the catalytic activity during SRE and ORE, while the catalyst become[s](#page-19-0) [mo](#page-20-0)re susceptible to carbon accumulation. Similar to the results obtained for the noble metals, the addition of Cu to supported Co or Ni catalysts, as discussed above, increases the degree of reduction of Ni or Co, compared to the monometallic systems, which is reflected in higher carbon accumulation during SRE.¹²⁹ The increase in the degree of reduction could be explained by the possibility of H_2 to be dissociated on Cu or Pt surfaces [prod](#page-20-0)ucing H atoms, which spillover to Co-oxides.¹⁴³ However, at high Pt contents, Zheng et al.⁸³ demonstrated the complex correlation between oxidation state and atmosph[ere](#page-20-0) $(CO or O₂)$ in 4 nm PtCo nanoparticles [and](#page-20-0) its impact in the turnover frequency of CO oxidation. Similar results were found by Papaefthimiou et al.,¹⁴⁴ who studied the effects of gas phase atmospheres $(H_2 \text{ or } O_2)$ on the redox behavior of PtCo alloys. As schematized in Figu[re](#page-20-0) 14, the distribution of Pt and Co over the first few atomic layers showed that under H_2 , Pt is preferentially localized on the surface and Co is in the subsurface region. On the other hand, under O_2 , an inverse trend was observed with Co being localized on the surface. A very interesting observation of size dependence was made by

Figure 14. (a) Depth distribution of the Pt/Co atomic ratio obtained by XPS for PtCo nanoparticles in O_2 and in H_2 , and for PtCo foil in H2. (b) Schematic illustration of the evolution of PtCo atom distribution in nanoparticles and foil under O_2 and H_2 . Reprinted with permission from ref 144. Copyright 2013, Elsevier.

comparing the behavio[r of](#page-20-0) PtCo foil with 3 nm nanoparticles. Under O_2 atmosphere, Co_3O_4 was formed in the foil by oxidation of Co, whereas CoO-like oxide was observed in the nanoparticles. Under H_2 , the Co_3O_4 was reduced to metallic Co in the foil, whereas in nanoparticles, a mixture of CoO/Co states was found. Changes in the surface structure according to atmosphere and temperature were also found for NiPt (111) model catalysts by \hat{Mu} et al.¹¹⁴

Bimetallic Ni−Co catalysts applied to SRE and ORE have been studied by our group [un](#page-20-0)der SRE¹⁴⁵ and ORE.¹⁴⁶ The results obtained by in situ XANES during H_2 -TPR indicated that bimetallic catalysts were more ea[sily](#page-20-0) reduced t[han](#page-20-0) the monometallic ones. In addition, this reducibility of the bimetallic catalysts increased together with the Co content. XANES results measured during ORE indicated that the bimetallic Ni−Co catalysts presented higher resistance to oxidation compared to that of the monometallic catalysts, resulting in a stable catalyst. However, the stability depended on the particle size. Resini et al.¹⁴⁷ showed that during SRE, the formation of CH4 was lower on Co−Ni supported on YSZ than on the Ni monometallic cataly[st. I](#page-20-0)n addition, an increase in H_2 yield was verified at high temperature associated with the steam reforming of CH_4 favored by the alloy formation. Chen et al.¹⁴⁸ observed that the addition of Co to Ni/Ca- γ -Al₂O₃ and Ni/ $ZrO₂$ did not improve the catalytic activity and suggested t[hat](#page-21-0) the support effect was more important in this case. The support role is discussed in Section 5.

The results described in this section illustrate that the behavior of bimetallic cataly[sts](#page-13-0) during SRE and ORE is far from being understood, perhaps because of the difficulty in comparing different studies, and especially the challenges involved in preparing and characterizing supported bimetallic

Figure 15. Reaction pathways of ethanol over CeO₂ and Pd/CeO₂. O_(s) indicates oxygen from the support. Reprinted with permission from ref 149. Copyright 1999, Elsevier.

catalysts. In addition, the behavior of bimetallic catalysts depends on composition, particle size, and reaction conditions, which vary along the catalytic bed. A thorough characterization of bimetallic catalysts under operando conditions, using techniques such as EXAFS, XANES, XRD, and XPS is crucial for designing and understanding new catalysts for the production of H_2 .

5. INFLUENCE OF THE SUPPORT ON THE CATALYST SELECTIVITY AND STABILITY

In the previous sections, we mainly discussed the effect of the metal nanoparticles properties, nature, and structure in the SRE performance. Nevertheless, the support can also play an important role, and several studies have been carried out to identify the ethanol interaction with the metal oxide support and its effect on the activity, selectivity, and stability of SRE catalysts.

5.1. Support Effect on the SRE Reaction Mechanism. Examples can be found in the literature about ethanol conversion mechanism over metal oxide surfaces. In this aspect, infrared spectroscopy is often used for the identification of the species formed on the support surface, such as ethoxy and acetate species that have been found to be coordinated to different surfaces.^{11,14,16,17,149,150}

Several aspects, such as acid−base properties, redox properties, and oxygen [m](#page-18-0)[obility,](#page-19-0) [have a](#page-21-0) strong impact on the role of the support and the metal−support interface on the reaction pathway. In this aspect, ceria¹⁵¹ is among the most studied supports for SRE catalysts due to its intrinsic activity as a redox catalyst because of the easy [re](#page-21-0)ducibility of $Ce⁴⁺$ and high mobility of the O^{2−} species within the ceria lattice. Studies of unreduced and reduced ceria, on different crystallographic facets, confirm that both oxidation states and structure deeply affect the adsorption of ethanol, water, intermediaries, and byproducts. In particular, the role of defects and acid−base sites, which are structure-sensitive and also characteristic of several transition metal oxides, is evident in SRE, and opportunities for the design of new catalyst can be foreseen by rational exploration of these properties.^{14,152,153}

An early work by Yee at al. 149° compared the species formed when ethanol was exposed on unred[uc](#page-19-0)[ed an](#page-21-0)d reduced

polycrystalline ceria. In both cases, ethanol adsorption le[d](#page-21-0) [to](#page-21-0) ethoxy formation, but the subsequent formation of acetate species was only observed on unreduced ceria. Therefore, ethanol was oxidized to acetate on the ceria surface but when ceria was reduced, the oxygen/cerium ratio decreased along with its oxidation capability. According to the authors, the acetate formed on the ceria surface was decomposed to carbonate and $CH₄$ (Figure 15), and the latter could be further reformed to yield hydrogen. In $Pd/CeO₂$, where the presence of Pd led to a considerable reduction of the ceria support, acetate was not formed, and the aldehyde could be decomposed into carbon monoxide and methane, that underwent reforming, or was dehydrogenated into acetyl (Figure 15). Therefore, in $Pd/CeO₂$, the acetate formation was inhibited in both reduced and unreduced catalyst, whereas the activity for ethanol dehydrogenation into acetaldehyde increased by 1 order of magnitude compared to neat ceria. Besides acetaldehyde, acetone and benzene were also observed in the product. The absence of ethylene, acetylene, butadiene, and crotonaldehyde suggests that benzene may have been formed through C_2H_x species (from ethoxides) and C_4H_y species (from crotonaldehyde), as observed in Figure 15.

de Lima et al.¹⁵⁴ studied Pt-CeZrO₂ catalysts and proposed complex multiple routes for acetate species formation via oxidation of [etho](#page-21-0)xy or acetaldehyde. In a subsequent publication, the authors found that a fraction of the ethanol can be oxidized to CO and CO_2 instead of forming CH_4 .¹⁵⁵ According to Mattos et al.,¹⁴ CH₄ can be formed by different routes from acetate decomposition in the support, while [the](#page-21-0) amount of $CH₄$ formed in [SR](#page-19-0)E is usually low compared to CO and $CO₂$ formation.

Mullins et al.¹⁵⁶ studied the interaction of alcohols with $CeO_x(111)$ thin films. Primary alcohols, such as ethanol, adsorbed at low [tem](#page-21-0)perature form ethoxy and hydroxyl species. DFT calculations also indicated that ethoxy is the main specie formed on ethanol adsorption on ceria.¹⁵⁷ Formation of acetaldehyde takes place at higher temperature, but on reduced ceria, the O vacancies favored the dehydrati[on r](#page-21-0)eaction through C−O bound breaking that led to ceria oxidation and formation of ethene. Reduced ceria also stabilizes hydroxyls groups, and water desorption was hindered.¹⁵⁶ No products originated from C−C breaking or coupling were observed by Mullins et al.¹⁵⁶

To get more information ab[out](#page-21-0) the structural dependency on the reactivity of $CeO₂$, nanoparticles of different shapes (wi[res,](#page-21-0) cubes, and octahedra) were analyzed in detail by Li et al.¹⁵⁸ Although wires present a more defective structure, cubes expose {100} facets, and octahedra expose {111} facets. [The](#page-21-0) surface coordinatively unsaturated sites of Ce and O depend on the exposed facet, and the $\{100\}$ one is the most reactive.¹⁵⁹ However, as pointed out by the authors, acid−base properties, geometry of the adsorption site, reducibility, and oxy[gen](#page-21-0) storage capacity are intrinsically related to the exposed crystallographic facet. The reducibility, as mentioned before, affects the dehydrogenation/dehydration selectivities. TPD data of ethanol for all morphologies detected acetaldehyde (dehydrogenation), ethylene (dehydration), and ethanol (desorption) at low temperatures ($\langle 250 \degree C \rangle$. By increasing the temperature, ethylene was only formed on cubes and wires, and other products such as CO , $CO₂$, and $CH₄$ were also detected. The authors described in detail possible reaction pathways for ethanol reaction on ceria surfaces involving deprotonation (forming ethoxy), α -CH scission (desorbing acetaldehyde), β -CH scission (desorbing ethylene), α -CH addition to enolate (desorbing ethylene), acetate formation, and decarboxylation (desorbing CH_4 and CO_2). The different shapes alter the product selectivity by changing the stability of the ethoxy intermediate and the energy barriers to dehydration, dehydrogenation, and decomposition reactions. Similar dependences of the interaction of other molecules such as acetaldehyde,^{159–161} CO,^{156,162} and H₂O^{163–165} on structure and reduction degree of ceria have been reported.¹⁶⁶ Studies under SRE [showed](#page-21-0) that $CeO₂$ particle [morph](#page-21-0)ology¹⁶⁷ and $\rm{size^{168}}$ modify the activity and selectivities of SRE.

Similar examples can be found in the literature about [eth](#page-21-0)anol co[nver](#page-21-0)sion mechanism over other important oxides surfaces such as Al_2O_3 , $^{169-174}$ ZnO, 175 Mo O_3 , 176 ZrO₂, 177 MgO₂, 178,179 MgAl₂O₄, Mg−Al mixed oxides^{180,181} and Fe₂O₃.¹⁸² For example, in Al_2O_3 , Lewis [ac](#page-21-0)idity [play](#page-21-0)s a [majo](#page-21-0)r rol[e and](#page-21-0) dehydration (forming ethylene), [and et](#page-21-0)herification [\(for](#page-21-0)ming diethyl ether) pathways compete; conversely, ethanol dehydrogenation to acetaldehyde is energetically disfavored. Christiansen et al.¹⁷² used DFT calculations to evaluate the ethanol adsorption and reaction over $γ$ -Al₂O₃ (100) and found that diethyl et[her](#page-21-0) and ethylene formation occurs on Lewis acid sites, via Lewis-catalyzed S_N2 and E2 mechanisms, respectively. In both mechanisms, water is formed and competes with ethanol adsorption. DeWilde et al. 171 reported that acetaldehyde can be formed on γ -Al₂O₃ via a shared ethoxy intermediate with the dehydration pathway, thr[oug](#page-21-0)h an indirect hydrogen transfer mechanism and formation of ethane. In reducible oxides, dehydrogenation forming acetaldehyde is favored and occurs via cleavage of α-C−H bond of adsorbed ethoxy followed by water formation through Mars and van Krevelen redox mechanism.158,183 In the case of Mg−Al mixed oxides, the acid−base properties depend on the Mg/Al ratio directly affecting th[e prod](#page-21-0)ucts rates for ethanol conversion.¹⁸¹

It is important to remark that although the support nature and structure may have a clear influence on [the](#page-21-0) ethanol adsorption and reaction pathways, the presence of metal in the supported catalyst may dominate the reaction kinetics. One important parameter is the metal loading, which in the case of noble metal catalyst is typically small (1%), thereby favoring the direct participation of the support on the reaction mechanism.

Other parameters that will determine the predominance of metal or support pathways is the type of metal and the reaction conditions.

In the literature, there are many studies on the decomposition of ethanol on different metals and supports, but little is known about the influence of the metal−support interface, which would demonstrate a bifunctional and synergic contribution of different sites in SRE. Zhou et al.¹⁸⁴ showed the impact of metal−oxide interaction on $Ce_{0.8}Ni_{0.2}O_{2−*v*}$, which modified not only the Ni electronic properti[es,](#page-21-0) partially segregated forming nanoparticles under SRE, but also the O vacancy formation, directly affecting the ethanol and H_2O activation. In particular, the production of methane was much smaller in this system. Another example, using $Ir/CeO₂$ as catalysts, proposed that the reaction mechanism involved the oxidation of ethoxy species to acetate on $CeO₂$ surface, which then migrated to the Ir surface, where it was decomposed into carbonyl.185,186 The decomposition of acetate on the metal particle via formation of *CH_3 fragment could occur via pyrolitic [mecha](#page-21-0)nism. Furthermore, the high oxygen mobility¹⁸⁷ could facilitate the oxygen migration to the metal−support interface, which would favor the oxidation of $*CH$ _x spec[ies,](#page-21-0) suppressing carbon accumulation on the catalyst. However, it is also possible that $*CH_x$ species are oxidized to formaldehyde and carbonate. Although DFT calculations show that the reaction (eq 7) on metal is energetically feasible but kinetically unfavorable, to our knowledge no experimental data have been published t[ha](#page-4-0)t demonstrated the importance of oxidation through a formaldehyde intermediate at the metal−oxide interface. It is desirable that more computational data are generated, addressing kinetics aspects, possible intermediates and spectators, and reaction pathways that would take place at the metal-oxide interface. In this aspect, it is interesting to highlight the significant effort that has been made to understand the mechanism of water-gas shift reaction, and despite the intense debate, the role played by the metal interface in several systems is clear.^{188−152} In the case of SRE, one point of common agreement is that the oxygen mobility of the support is a critical property to [favor C](#page-21-0) removal and avoid deactivation.

5.2. Effect of the Support Oxygen Mobility in the Catalyst Performance. The oxygen exchange capacity (oxygen mobility) of the support not only affects the reaction mechanism but also promotes the oxidation of the metal particle and of the adsorbed carbon on catalyst surface.^{193,194} For example, it was observed that Co particles are partially oxidized when they are supported on reducible support[s, and](#page-21-0) the presence of both Co^{2+} and Co^{0} species leads to an enhancement of the acetaldehyde formation (Figure 16).¹⁹⁵ These results are in good agreement with other works.^{14,94,196,197} Stabilization of Co [part](#page-15-0)icles in a parti[ally](#page-21-0) oxidized form allows bifunctional mechanisms, in which oxidat[ive](#page-19-0)[dehydr](#page-21-0)ogenation of ethanol adsorbed on the Co takes place using oxygen supplied by the support.¹⁹⁵ This effect was not observed in nonreducible supports, such as YSZ, due to its low oxygen mobility.¹⁹⁵ However, other wor[ks r](#page-21-0)eported an increase in Cu⁺ species on Cu nanoparticles when supported on $ZrO₂$ instead of silica, [eve](#page-21-0)n though zirconia has low oxygen mobility.198−²⁰⁰ In this case, the support affects the electronic properties of the metal nanoparticle, changing the Cu⁺/Cu ratio, w[hich lead](#page-21-0)s to an increase in the acetaldehyde selectivity from ethanol.

Figure 16. Reaction of ethanol molecules on $Co/CeO₂/YSZ(100)$. Reprinted with permission from ref 195. Copyright 2012, Elsevier.

Song and Ozkan¹⁹⁴ showed that $Co/CeO₂$ catalyst was more stable under SRE than $Co/CeO₂-ZrO₂$, or $Co/ZrO₂$ due to lower carbon accu[mu](#page-21-0)lation in its surface (Figure 17A−C). As shown in Figure 17D, E, $Co/CeO₂$ presents a higher oxygen storage capacity and oxygen mobility, allowing a more effective gasification/oxidation of adsorbed carbon on the surface. Studies on $Ni/CeO₂$ also showed that $Ce³⁺$ favors the water activation and CO_2 formation.²⁰¹ Accordingly, Galetti et al.²⁰² observed that the modification of $Ni/ZnAl₂O₄$ catalysts with $CeO₂$ inhibits the accumulati[on o](#page-21-0)f carbon during SRE at [500](#page-21-0) $\rm{^{\circ}C}$ with a molar ratio H₂O/ethanol of 4:1. They report that the extent of carbon accumulation depends mainly on the reaction temperature and the Ce/Ni ratio.

The support can also be important to hinder sintering of the metal particles. Comparative studies of the stability of $CeZrO₂$ and $Pt/CeZrO₂$ showed that the Pt-containing catalyst was more selective for hydrogen production; however, it displayed a sharp deactivation in the first 2 h of the reaction (Figure 18).¹⁵⁵ Deactivation by carbon deposition at the metal−support interface rather than by sintering of the Pt (confir[med](#page-16-0) [by](#page-21-0) TEM) was observed, suggesting a similar effect found in Pt/ MgO where graphene sheets nucleated on small Pt nanoparticles and migrate to the support.¹¹¹ It was also shown that a simple oxidation−reduction cycle restored the activity of the catalyst because it oxidized the a[ccum](#page-20-0)ulated carbon.¹⁵⁵ The interaction between Pt and ceria was studied by valence photoemission measurements and DFT calculations, re[vea](#page-21-0)ling a strong metal–support interaction in ultrasmall Pt particles ($Pt₈$ clusters) that would be responsible for significantly enhance the ability of Pt to adsorb water and dissociate the O−H bonds.²⁰¹ This is an interesting result, and several aspects of this interaction can be further analyzed. As previously discussed, [the](#page-21-0) ceria has the ability to partially oxidize the metal nanoparticle, and therefore, the interaction between Pt and ceria could take place through PtO_x sites, which has been reported to be tronger than interaction through Pt^{0,203} Furthermore, metal− . support interaction has also been observed under SRE conditions in other catalysts based o[n n](#page-21-0)onreducible supports, such as Co/SiO_2^{204} and Ni/ZrO_2^{205} These results endorse the argument that the metal particle electronic properties could be affected by the s[upp](#page-21-0)ort independ[ent](#page-21-0) of the oxygen mobility.

5.3. Reactivity of Ethanol in ORE. ORE reaction has been studied on supported metal catalysts aiming to achieve higher stability against carbon deposition. In general, the oxygen addition to the feed decreases the carbon accumulation on the catalyst, but it decreases the hydrogen yield by undesired reactions, such as the ethanol overoxidation to CO and $CO₂$.

Several studies have been carried out to understand the role of the support for ORE reaction. As in other systems, increasing

Figure 17. TEM images of Co supported catalysts after SRE at 450 °C using ethanol/H₂O molar ratio of 1:10. (A) and (B) 10%Co/ZrO₂, (C) 10% Co/10%CeO₂−ZrO₂. (D) Oxygen exchange measured using ¹⁶O₂/¹⁸O₂ switch over ceria and zirconia supports and corresponding Co supported catalysts; (E) Oxygen uptake during O_2 pulse chemisorption of the same supports and catalysts. Adapted with permission from ref 194. Copyright 2009, Elsevier.

Figure 18. Ethanol conversion (X_{ethanol}) and product distributions during SRE at 773 K with ethanol/H₂O molar ratio of 1:3 on (a) CeZrO₂ and (b) $Pt/CeZrO₂$. Reprinted with permission from ref 155. Copyright 2009, Elsevier.

the surface area of the support contribut[es to](#page-21-0) better metal dispersion and improves the catalytic activity.^{206,20}

Cai et al.¹⁸⁶ studied Ir/CeO₂ catalysts and showed dependence of ORE on the support morphol[ogy and](#page-21-0) structure. Lower suppor[t ca](#page-21-0)lcination temperatures (400 or 550 °C) led to more stable catalysts compare to higher calcination temperature (700 or 850 °C), as shown in Figure 19. According to the

Figure 19. Impact on Ir and ceria particle sizes after catalyst aging under ORE at 650 °C for 60 h (initial to final values for each bar: from left- to right-hand side). Reprinted with permission from ref 186. Copyright 2012, Elsevier.

authors, the correlation of stability with temperatur[e](#page-21-0) [of](#page-21-0) calcination was due to strong basicity and high concentration of surface defects achieved by calcining at lower temperature, which increased the metal−support interaction, facilitated the oxygen activation and diffusion, and inhibited the carbon deposition. The deactivation of the catalysts prepared with ceria previously calcined at 700 or 850 °C was attributed to carbon accumulation and sintering of both Ir and $CeO₂$ particles.

Concerning the nature of the support, Avila-Neto et al.¹³ compared the performance of La₂O₃−Al₂O₃ and CeO₂−Al₂O₃ support with the corresponding Co supported catalysts in O[RE](#page-19-0) at 773 K (Figure 20). La₂O₃ $-Al₂O₃$ presented poor activity to ethanol conversion, and the predominant product was acetaldehyde (Fi[gure](#page-17-0) 20a). CeO₂−Al₂O₃ was more active to ethanol conversion, and the main products were CO and $CO₂$ (Figure 20c), obtained by the overoxidation of ethanol due to the high oxygen mobility of ceria. For both supports, low selectivi[ty f](#page-17-0)or H_2 and CH_4 were obtained. Addition of Co to the supports greatly increased the H_2 formation (Figure 20b,c), and both catalysts presented comparable performances. The slightly greater formation of CO and CO_2 on $CeO_2-Al_2O_3$ was assigned to oxidation of ethanol over ceria.²⁰⁶

5.4. Influence of the Support Acid−Base Properties in SRE and ORE. Acid−base properties of t[he](#page-21-0) supports can be tailored by changing their composition, which has shown to affect the catalyst performance in SRE and ORE.^{193,208,209} In fact, one of the main concerns on designing catalysts for SRE and ORE is to reduce the yields of the byprodu[cts, and it](#page-21-0) is important to understand the reaction route that maximizes selectivity to H_2 . For example, the dehydration of ethanol to diethyl ether and/or ethylene (eqs 14,15) can take place on acidic/basic supports. 210 As previously mentioned, over alumina, the reaction requires strong Lewis acid.^{169-174,211} Over zeolites, the eth[anol](#page-21-0) dehydration is determined by the stability of intermediates, by the size of the zeolite [channels,](#page-21-0) and by the position of Brønsted acid sites.²¹² Over basic solids, such as MgO, the dehydration involves strong basic sites and weak Lewis acid sites, which catalyze [bot](#page-21-0)h dehydration to ethylene and dehydrogenation to acetaldehyde.178,179,213 The formation of ethylene is particularly undesirable since it promotes secondary reactions, such as oligo[merization](#page-21-0) and coke formation.

$$
2CH_3-CH_2-OH \Leftrightarrow CH_3-CH_2-O-CH_2-CH_3 + H_2O \tag{14}
$$

$$
CH_3-CH_2-OH \Leftrightarrow H_2C=CH_2 + H_2O \tag{15}
$$

Dehydrogenation of ethanol to acetaldehyde (eq 3) is favored at low temperatures and takes place over both metals and oxides. The formed acetaldehyde can also un[de](#page-2-0)rgo condensation reactions over acidic and basic oxides to form an aldol, which can be further dehydrated to crotonaldehyde by an acid site. $214,215$ In general, the acidity favors condensation reactions with polymerization to heavy products.

Dependin[g o](#page-21-0)[n](#page-22-0) the nature of the support, acetone can be formed under SRE by a complex reaction route involving: (i) acetaldehyde oxidation; (ii) coupling with an acetate molecule; and (iii) decarboxylation. Acetone can also be formed by condensation of acetaldehyde followed by oxidation of aldol product, which is then dehydrogenated and decarboxy-

Figure 20. Ethanol conversion and products distribution for ORE on (a) La₂O₃ -Al₂O₃ and (c) CeO₂−Al₂O₃ supports and corresponding Cosupported catalysts ((b) and (d), respectively) at 773 K and H₂O/ethanol/O₂ molar composition of 3:1:0.5: (+) ethanol conversion, (\bullet) H₂, (\square) CH_4 , (Δ) CO, (\blacktriangledown) CO₂, (\star) ethylene and (\star) acetaldehyde. Reprinted with permission from ref 13. Copyright 2012, Elsevier.

lated.197,216−²²⁰ In both pathways, oxygen for the oxidation steps can be provided by water activation or by the support, on redu[cibl](#page-21-0)[e oxides](#page-22-0). A high selectivity to acetone was observed on catalysts containing both acidic and basic sites as well as redox properties, such as $Fe₂O₃$, ZnO, and $V₂O₅$. 182,183,219

The support acid−base properties can also affect the metal particle size, which consequently affects th[e catal](#page-21-0)[ytic](#page-22-0) performance and stability. Youn et al.²²¹ studied the effect of support acidity on ORE by using Ni supported on different oxides: ZnO, MgO, ZrO₂, TiO₂, a[nd](#page-22-0) Al_2O_3 , finding a correlation (volcano plot) between H_2 yield and support acidity. Other reports showed that catalyst performance and stability under SRE were also affected by the modification of the support with alkali metals. For example, the addition of 1% of Na $^+$, Li $^+$, or K $^{\rm +}$ on Ni/MgO inhibited Ni sintering; however, it did not prevent carbon formation.^{222,223} Addition of Na⁺ to Co/ZnO increased slightly the catalytic activity and decreased the deactivation by carbon depositio[n.](#page-22-0)^{2[24](#page-22-0)} Domok et al.²²⁵ observed that SRE activity and selectivity to H_2 were increased when K^+ was used as promoter on 1% [Pt/](#page-22-0)Al₂O₃. The effe[cts](#page-22-0) of K^+ loading on Rh/ $CeO₂-ZrO₂$ catalysts with various $CeO₂/ZrO₂$ ratios on SRE were also observed by Roh et al.²²⁶

Alkaline earth metals (Mg, Ca) have also been used to modify supported metal catalyst[s fo](#page-22-0)r both SRE and ORE. For example, Choong et al.²²⁷ investigated the effect of Ca^{2+} on Ni/ Al_2O_3 catalysts for SRE at low temperatures and found that the

 Al_2O_3 acidity was red[uce](#page-19-0)d by Ca^{2+} addition, reducing ethanol dehydration and ethylene formation. Furthermore, Ca^{2+} led to an increase in water adsorption, resulting in high ethanol conversion to H_2 , CH₄, and CO₂. Similar results¹³⁸ were also reported for Ca^{2+} doped Ni/CeO₂−ZrO₂ at low reaction temperature (400–550 °C). Carrero et al.¹³⁵ [ob](#page-20-0)served an improvement on $H₂$ production and lower carbon accumulation for SRE at 600 °C in Cu–Ni supported [on](#page-20-0) Mg^{2+} or Ca²⁺modified silica. This effect could be related to better dispersion of Cu−Ni caused by dopant, through stronger metal−support interactions. Song and Ozkan²²⁸ observed an increase in the oxygen mobility on ceria doped with Ca^{2+} , with a positive impact for SRE. Contrarily, Gr[ana](#page-22-0)dos et al. $^{229'}$ reported that the addition of Ca^{2+} into $CeZrO_4$ support led to a decrease in the oxygen storage capacity.

6. CONCLUDING REMARKS AND OPPORTUNITIES

SRE/ORE should become important reactions for H_2 production at industrial scale in the future. For large-scale applications, more abundant metals such as Ni and Co are more attractive than noble metals, but integrated portable technologies have a different set of constraints that may only be achieved with other classes of catalysts. In both cases, there are still key steps to be overcome to understand the catalyst behavior during SRE or ORE and optimize its performance. In this aspect, both theoretical studies and experimental investigations have to be pursued together. The availability of operando characterization tools is a great opportunity to a deeper understanding of the several parameters that affect the catalyst performance. Therefore, a better understanding of the fundamental aspects of ethanol reforming should lead toward the development of new high performance catalysts.

We suggest that three key aspects should be clarified to allow a better understanding of the ethanol reforming reaction: (i) the effect of the metal nature-impact of particle size and metal oxidation state in the SRE performance; (ii) the importance of metal surface electronic properties to obtain a balanced and stable catalyst; and (iii) the influence of the support on the catalyst selectivity and stability. The knowledge of the reaction mechanism is fundamental for analyzing the experimental data related to the three aspects mentioned.

As discussed, ethanol activation pathways depend on the metal nature and can be generally divided in two groups: the less-oxophilic metals (Pd and Pt), in which α -C-H activation takes place, and the more-oxophilic metals (Co, Ni, Rh, Ru), which promote activation via O−H. An increase in the temperature favors the H abstraction, going through the formation of intermediates such as CH_3C^*O , *CH₂C^{*}O, and *CHC*O. The cleavage of C−C bond is expected to be favored in species highly dehydrogenated. After the cleavage of C−C bond, *CH_x and *CO species are formed, and finally, the $*CH_x$ species are decomposed, forming $*C$ that has to be oxidized to CO. The CH_4 selectivity at low temperature is governed by the ability of the metal to hydrogenate/ dehydrogenate the *CH_x species, which is favored in highly reduced metals such as Ni. An increase of metal surface reactivity is expected from Pt to Co, favoring H abstraction and increasing the availability of O* on the metal surface and disfavoring hydrogenation reactions at low temperatures.

Among the several parameters that rule the catalyst performance, it is clear that metal particle size plays a major role, and it is intrinsically related to important aspects of the catalysts, such as resistance to carbon deposition and stability of the metallic phase against oxidation. Metal particle size will also enhance interface effects, and the optimum metal particle size will depend on the metal nature, support type, and reaction conditions. In particular, the optimum size range should be different for SRE and ORE; the presence of O_2 in ORE and the higher tendency of small particles to be oxidized will shift the ideal size to larger values compared to SRE. Finally, due to the variation of the redox potential of the reaction atmosphere along the reaction bed, both opportunities and challenges can be foreseen in practical applications.

Related to the support, aspects such as oxygen storage capacity/oxygen mobility affect the reaction mechanism, but experimental and theoretical data are still far from elucidating the influence of support as well as metal−support interface, in particular in the case of oxidation and hydrogenation of C^* , $CH_x[*]$, and other species. The experimental results point out that in SRE, reducible supports seem to be a better option because they increase resistance to carbon accumulation. However, in ORE, the oxygen is added to the feed, and the support may play a different role. The nature of the support affects other important parameters, such as acidity and basicity affecting the formation of byproducts. Because the support also affects metal particle stability against sintering, deeper studies about the deactivation mechanism under SRE and ORE are desired.

Co- and Ni-based catalysts are cheaper and probably the most promising catalytically active metals for industrial SRE. However, the catalytic properties should be improved; for example, Co is very reactive toward oxygen, and the stability of the Co−CoO system is still uncertain. In the case of Ni, which shows lower reactivity toward oxygen, it shows high hydrogenation activity, with significant formation of $CH₄$ at low temperatures. Metal surfaces showing high reactivity toward oxygen at low temperature are easily oxidized by H₂O and become active mainly to ethanol oxidation, forming acetaldehyde. Contrarily, a metal surface with low reactivity to oxygen enhances the activity of hydrogenation of the intermediates, favoring CH_4 formation. This reactivity with oxygen is correlated with catalyst deactivation due to carbon accumulation, metal oxidation, and metal sintering (metal−support interaction). The balance to achieve a good performance involves fine-tuning of electronic properties through alloy formation, metal particle size, and nature of support. These are challenging issues that need to be solved to enable the successful design of new and stable catalysts. With the advances in theoretical and experimental tools, exciting results can be foreseen in the near future.

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Notes

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